

Chapter 7 Raman Spectroscopy

Course Code: SSCP 4473 Course Name: Spectroscopy & Materials Analysis

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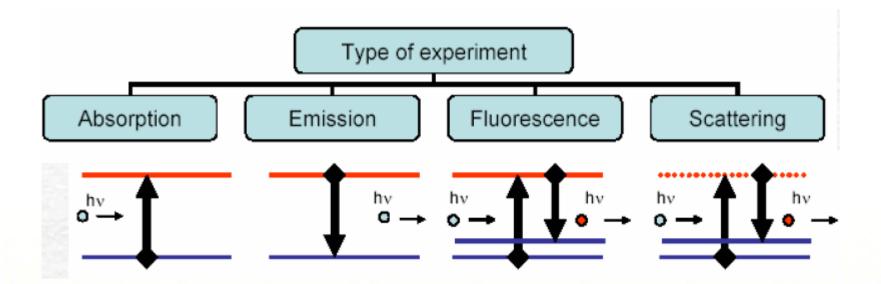
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Essence of Raman Spectroscopy: Based on Inelastic Scattering

Only 1 in 10,000,000 (0.00001%) photons are scattered inelastically

Raman Effect is a 2-photon Scattering Process





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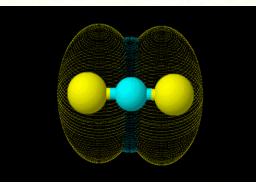
Presentation Menu

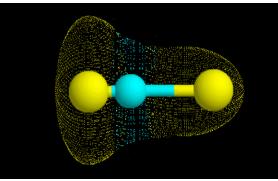
✓ Why to select Raman for materials analyses?

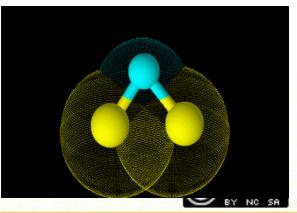
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- ✓ Fundamental importance
- ✓ What is the process?
- ✓ When and Where I should do?
- ✓ Instrumentation
- ✓ Basic theoretical concepts
- ✓ Challenges
- ✓ Spectral analyses
- ✓ Examples
- ✓ Conclusion

Typical frequencies of molecular vibrations range from less than **10¹²** to approximately **10¹⁴** Hz.









What is Raman spectroscopy?

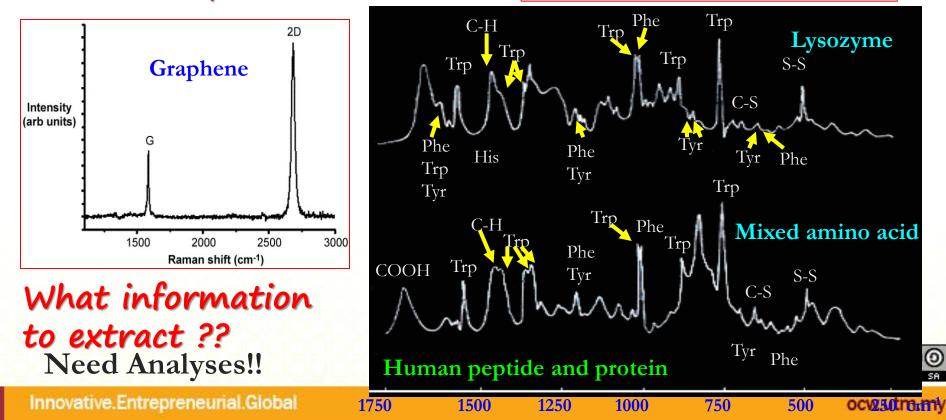
A spectroscopic technique relies on inelastic scattering of monochromatic light (laser) in the visible, NIR, or NUV range.
 What is it for?

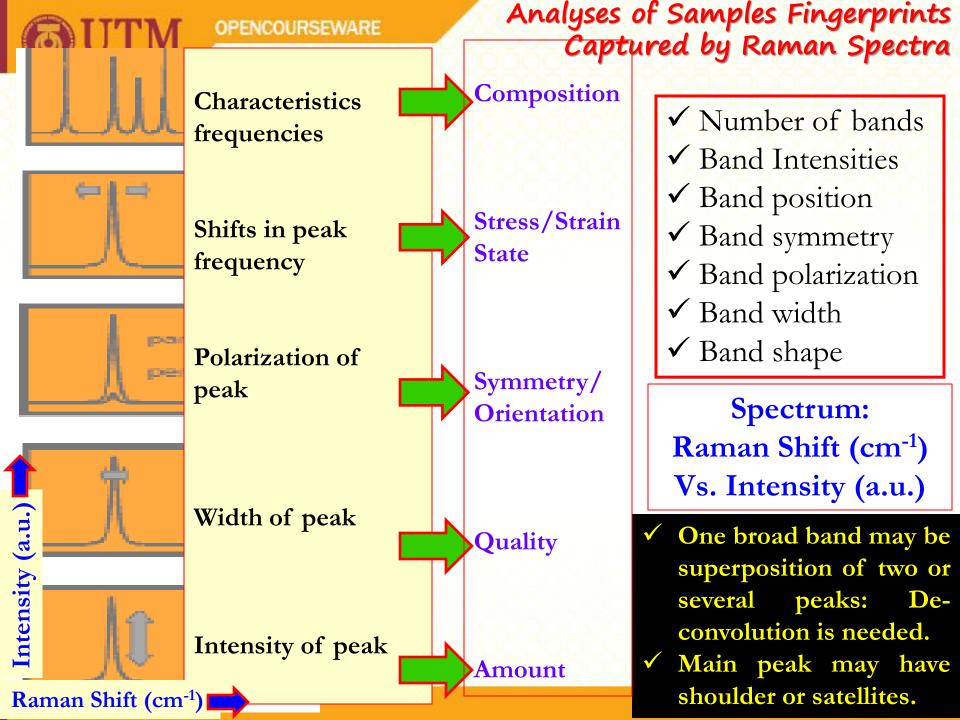
✓ For determining vibrational, rotational, and other low-frequency modes (fingerprints) in a system (molecule to bulk).

How Raman spectra looks like?

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Lysozyme is a protein found in tears, saliva, and other secretions.







Why Raman to Use Spectroscopy?

✓ Raman spectroscopy: Important tool for characterization of all kinds of materials and interfaces, as low frequency lasers allow their excitations in the infrared frequency range by minimizing the effects of fluorescence (especially for polymers).

✓ Advances in Raman instrumentation have been dramatic, in many cases it is preferred method.

✓ Raman spectroscopy is **complementary to IR**, and due to differences in the nature of selection rules, yields **vibrational** information **not obtainable** from IR.

✓ Raman also is advantageous with aqueous solutions due to the low Raman scattering of water (biology, chemistry, forensic, pharmacology, etc.).

Exclusion Rule

If the molecule has a **center of symmetry**, then **no modes** can be both infrared and Raman active.



Notable Merits:

Why to Prefer Raman Scattering?

- ✓ Non-destructive to samples (minimal sample preparation)
- ✓ Higher temperature studies are possible (do not care about IR radiation)
- ✓ Easily examine low wavenumber region ~100 cm⁻¹ readily achievable
- ✓ Better microscopy: Using visible light so can focus tightly
- \checkmark Easy sample preparation: Water is an excellent solvent for Raman
- ✓ Sample can be probed through transparent containers (glass or plastic)
- ✓ On top, **rich** in information contents

Prominent De-Merits:

✓ Very low sensitivity: Only 1 in 10⁵ – 10⁷ photons suffers Raman shift
 ✓ Intense visible excitation source may degrade samples and cause

fluorescence (Raman efficiency $\sim v^4$)

More sophisticated (expensive) due to laser and other arrangements
 In solid state and on surfaces the intensities vary by many orders of magnitude according to substrate and preparation

 \checkmark On top, in-depth knowledge is required for interpretation



FTIR Versus Raman: Information-wise

FTIR Spectroscopy (arise from change in the dipole moment)

- ✓ Lattice dynamics (phonons)
- ✓ Optical transitions (band structure)

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 \checkmark Absorption

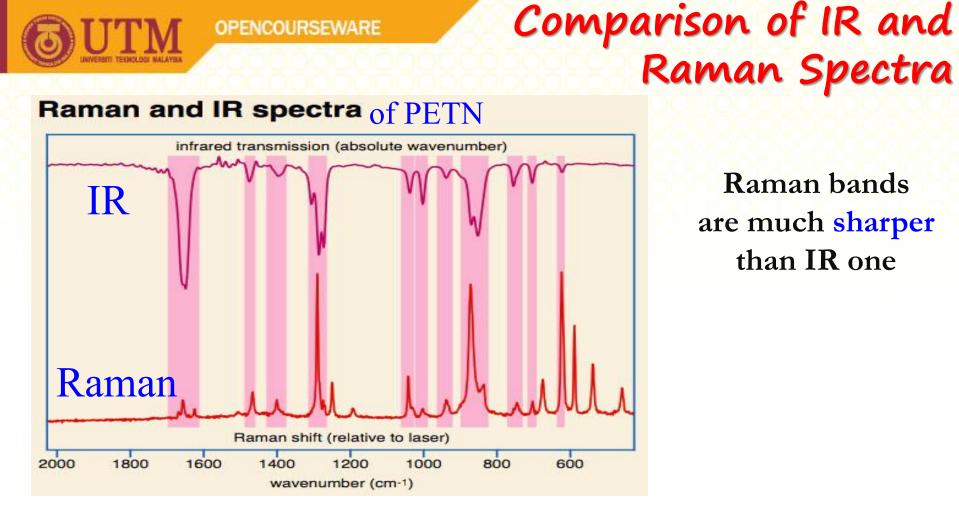
Raman Spectroscopy (arise from change in the polarizability)

- ✓ Local structural information (symmetry, vacancies, dopants, etc.)
- ✓ Lattice dynamics (phonons)
- ✓ Electronic excitations, Magnetic excitations (energy, lifetime, symmetry)
 - ✓ Non-destructive, contactless
 - ✓ Informative

Why Raman ?

- ✓ Relatively painless
 - ✓ Macro- to microscopic measurements possible
 - ✓ Easy to implement external parameters (**T**, **B**, **P**, etc)
 - ✓ No interference of water





✓ **PETN** (Pentaerythritol tetranitrate) is one of the most powerful explosives and is difficult to detect. Because of its plastic nature, the explosive can easily pass metal detectors. Even bomb-sniffing dogs cannot detect it because of its low pressure molecules. (Airport Forensic!!)





Interesting IR Regions

✓ The region of the infrared spectrum which is of greatest interest to scientists is the wavelength range 2.5 to 15 µm (In practice, units proportional to *frequency*, (wavenumber in units of cm⁻¹) rather than wavelength, are commonly used and the region 2.5 to 15 µm corresponds to approximately 4000 to 400 cm⁻¹.

✓ Absorption of radiation in this region by a typical organic molecule results in the excitation of vibrational, rotational and bending modes, while the **molecule itself remains** in its **electronic ground state**.

✓ The frequencies at which there are absorptions of EM radiation ("peaks" or "signals") can be correlated directly to bonds within the compound in question.

Light inelastically scattered when there is spatial and temporal fluctuation or modulation in the polarizability.

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Origin of Light Scattering

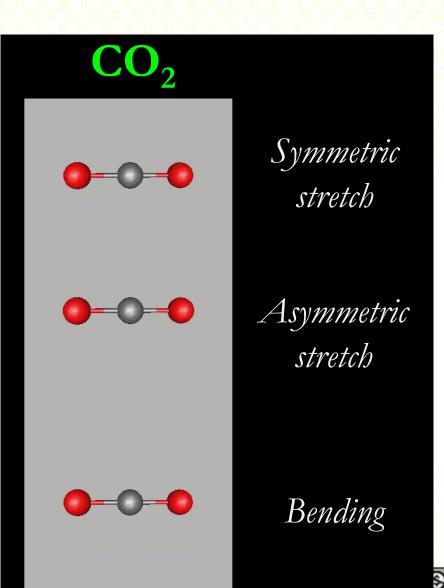
✓ Atoms within a molecule are never still. They **vibrate** in a variety of ways (**modes**).

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✓ Atoms may be considered as weights connected by springs.

✓ Each vibrational mode (normal modes) has its own resonant frequency.

✓ In Raman process molecular
 bonds interact with light.



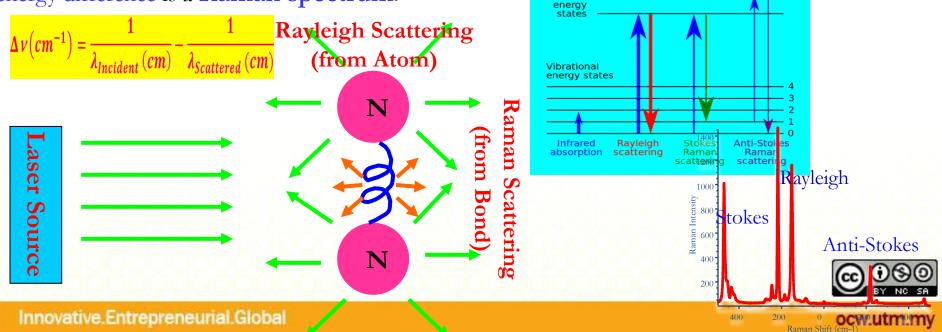


Origin of Raman Scattering & Raman Effect

✓ When light is scattered from a molecule most photons are elastically scattered (Rayleigh). The scattered photons have the same energy (frequency/wavelength) as the incident photons.

✓ However, a small fraction of light (approximately 1 in 10^7 photons) is scattered at optical frequencies usually lower than, the frequency of the incident photons. The process leading to this inelastic scattering is the termed the Raman effect. Raman scattering can occur with a change in *vibrational*, rotational or electronic energy of a molecule. Physicists/Chemists are concerned primarily with the vibrational Raman effect. The term Raman effect usually means vibrational Raman effect only.

✓ The difference in energy between the incident photon and the Raman scattered photon is equal to the energy of a vibration of the scattering molecule. A plot of intensity of scattered light versus energy difference is a Raman spectrum.



How a Complete Raman Spectrum Appears?

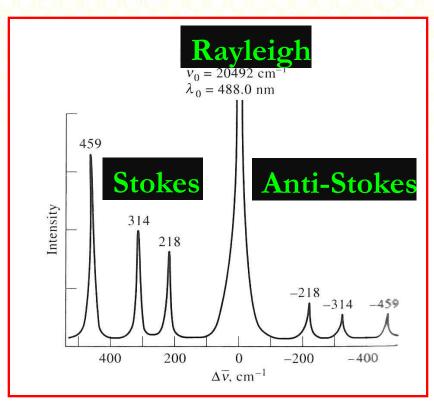
A complete Raman spectrum consists of:

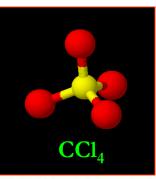
✓ A Rayleigh scattered peak (high intensity, same wavelength as excitation).

 ✓ A series of Stokes-shifted peaks (low intensity, longer wavelength).
 ✓ A series of anti-Stokes shifted peaks (still lower intensity, shorter

wavelength).✓ Spectrum is independent

✓ Spectrum 1s independent of excitation wavelength (488, 632.8, or 1064 nm)





Spectrum of CCl₄, using an Ar⁺ laser at 488 nm.

459 cm⁻¹ - Symmetric stretching

314, 218 cm⁻¹ - Asymmetry stretching



Raman Scattering Process

✓ The Raman effect arises when a photon is incident on a molecule and interacts with the **electric dipole (polarizability)** of the molecule.

 \checkmark It is a form of electronic (more accurately, vibronic) spectroscopy, although the spectrum contains vibrational frequencies.

 \checkmark In **classical** terms, the interaction can be viewed as a **perturbation** of the molecule electric field.

✓ In quantum mechanics the scattering is described as an excitation to a virtual state lower in energy than a real electronic transition with nearly coincident de-excitation and a change in vibrational energy.

✓ The scattering event occurs in 10^{-14} seconds or less. Thus, the virtual state description of scattering works.

Absorption (Excitation): 10⁻¹⁵ s Internal Conversion and Vibrational Relaxation: 10⁻¹⁴ - 10⁻¹¹ s Fluorescence: 10⁻⁹ - 10⁻⁷ s; Phosphorescence: 10⁻³ - 10² s





Raman Spectroscopy

- ✓ Light scattering technique for studying molecular vibrations
- Change in polarization is necessary for a vibration to be seen in Raman spectrum
- ✓ Implies change in distribution of electron cloud around vibrating atoms
- ✓ Polarization is easier for long bonds than for short bonds
- ✓ IR-inactive radiations are Raman-active





Raman Spectroscopy

Principles

✓ Part of the radiation is scattered by molecules when the radiation passes through sample

Three types of scattering occurs

- ✓ Rayleigh scattering
- ✓ Stokes scattering
- ✓ Anti-Stokes scattering





Principles

Rayleigh scattering

- ✓ Result of elastic collisions between photons and sample molecules
- \checkmark Energy is same as incident radiation

Stokes scattering

- ✓ Scattered photons have less energy than the incident radiation
- ✓ Results in spectral lines called Raman lines

Anti-Stokes scattering

✓ Raman lines result from photons scattered with more energy







Principles

- ✓ Stokes and anti-stokes are due to inelastic collisions
- ✓ The process is not quantized
- ✓ Raman lines are shifted in frequency from Rayleigh frequency
- ✓ Radiation measured is visible or NIR





Raman Spectroscopy

Advantages over IR

✓ Aqueous solutions can be analyzed

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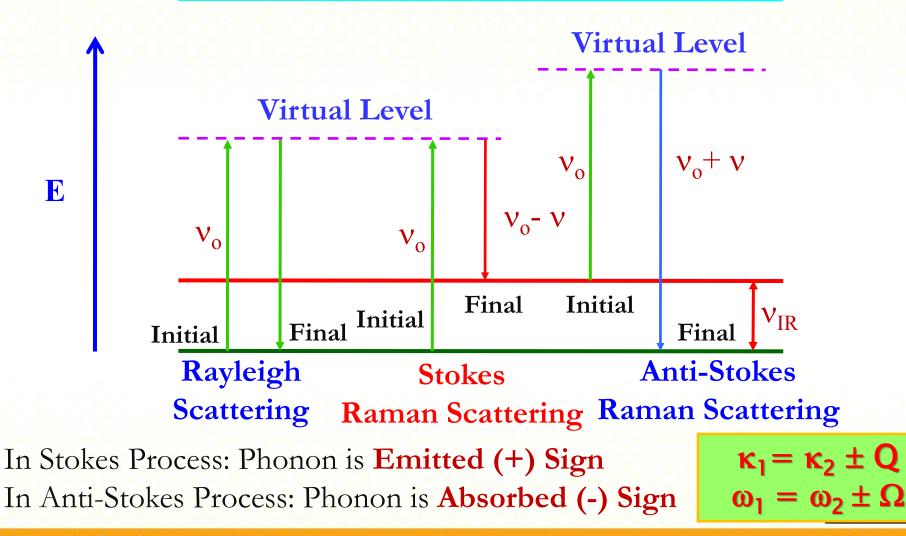
✓ Fewer and much sharper lines so better for quantitative analysis

Techniques

- ✓ Resonance Raman Spectroscopy
- ✓ Surface-Enhanced Raman Spectroscopy (SERS)
- ✓ Raman Microscopy



OPENCOURSEWARE Raman Scattering & Raman Effect: Virtual Energy Level Electronic Adsorption Level

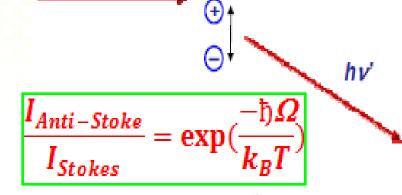


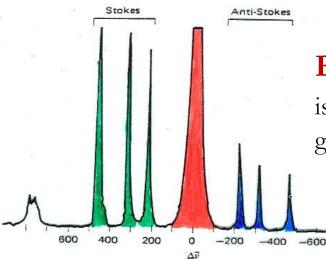
OPENCOURSEWAREHOW the Molecule Responds to the Incident Radiation?

Scattering

hv

nonon





✓ Incident photon oscillates atoms and the oscillating dipoles radiate
✓ The scattering process is instantaneous
✓ Elastic scattering when hv=hv'
✓ Inelastic scattering when hv≠hv'
(Raman scattering)

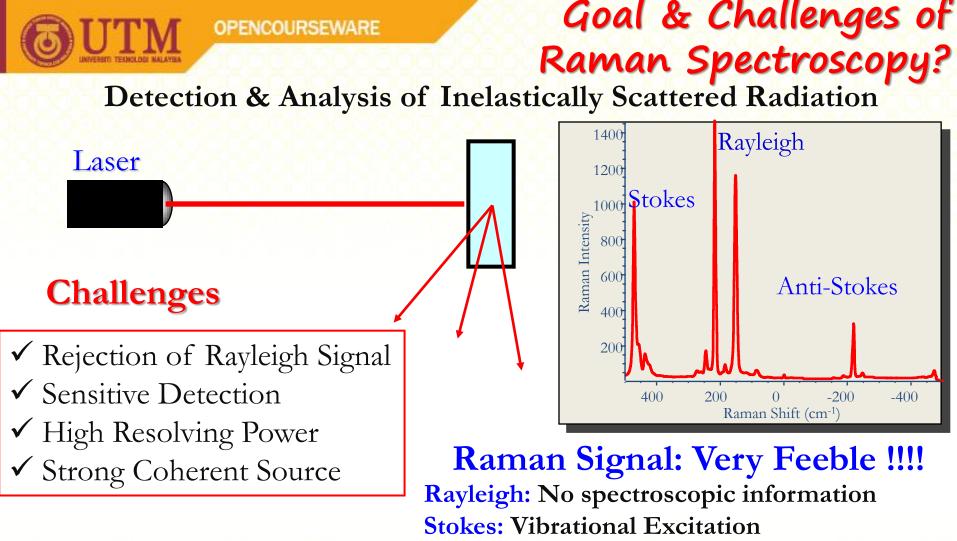
Role of Laser: The total spontaneous Raman signal is the **incoherent superposition** of the fields generated by an ensemble of **N oscillators**

Scattering of Photon from Optical

 $I_{R} \sim N I_{I}$



Figure 6-14 Raman spectrum of liquid CCl₄ scanned at 500 cm⁻¹/min using a 3-µl sample with heliumneon 632.8 nm excitation. The strong signal at $\Delta \vec{v} = 0$ is due to Rayleigh scattering of laser radiation. (Journal of Chemical Education.⁽³⁴⁾)

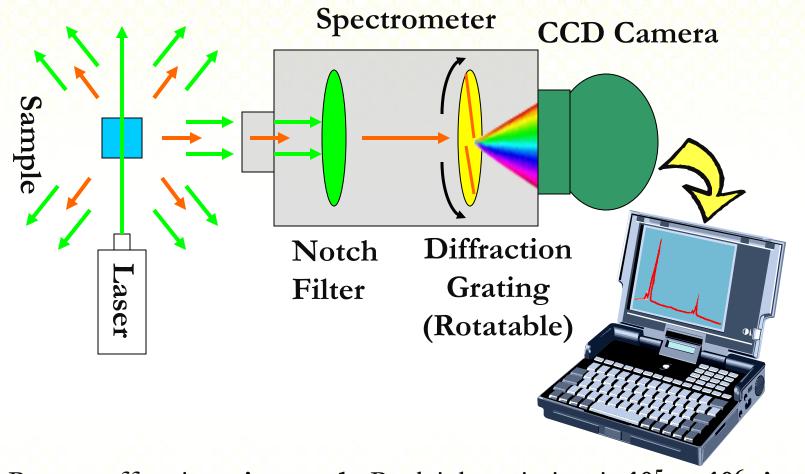


Anti-Stokes: Vibrational De-excitation

Inelastic light scattering by **optical phonons** in materials, or more generally by other **elementary excitations** such as magnons, plasmons, polarons, excitons, electronic excitations, etc.



Instrument: Configuration Illustration



The Raman effect is **quite weak**. Rayleigh emission is **10⁵ to 10⁶ times** more intense.





- ✓ Chemical Mapping
- ✓ Raman Imaging
- ✓ Tip-Enhanced Raman Spectroscopy (TERS)
- ✓ Spatially Offset Raman Spectroscopy (SORS)

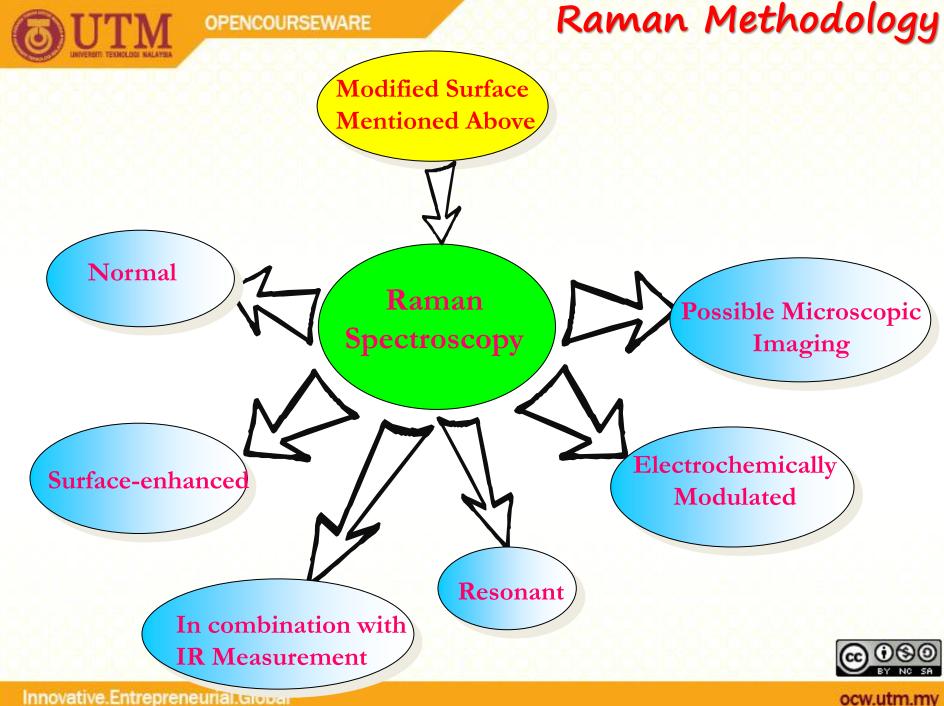
Advancements in Raman Spectroscopy

- ✓ Resonance Raman Scattering (RRS)
- ✓ Stimulated Raman Spectroscopy (SRS)
- ✓ Coherent anti-Stokes Raman Spectroscopy (CARS)
 - ✓ Hyper-Raman Spectroscopy (HRS)
 - ✓ Spontaneous Raman Spectroscopy (SR)

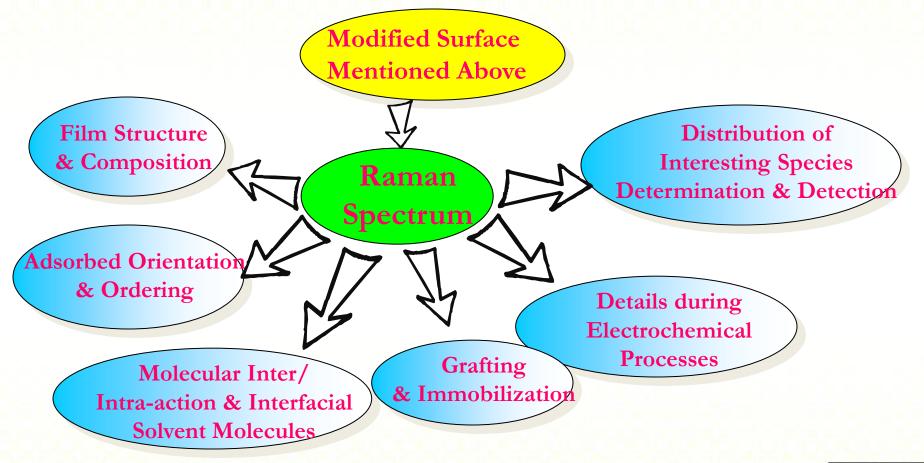
✓ Surface-enhanced & Surface-enhanced Optical Tweezers Raman Spectroscopy (OTRS)
 Resonance Raman Spectroscopy (SERS
 & SERRS)

✓ Raman spectroscopy is conventionally performed with green, red or nearinfrared lasers. The wavelengths are below the first electronic transitions of most molecules, as assumed by scattering theory.

✓ The situation changes if the wavelength of the exciting laser within the electronic spectrum of a molecule. In that case the intensity of some Ramanactive vibrations increases by a factor of 10^2 - 10^4 . This resonance enhancement or resonance Raman effect can be quite useful.



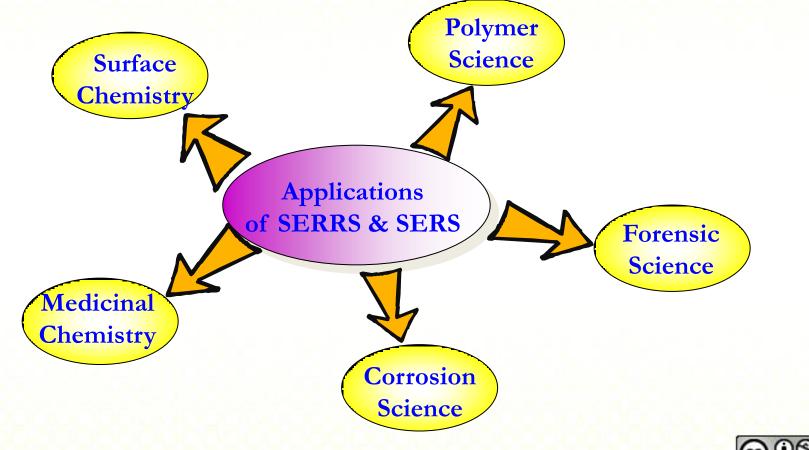
OPENCOURSEWARE Information Obtained in Combined Electro-physicalchemistry







OPENCOURSEWARE<mark>Surf</mark>ace Enhanced and Surface Enhanced Resonance Raman Scattering: Applications





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Classical Theory

- ✓ The electric field E_i of the light wave acts on the charges in the material
- ✓ Induced dipole moment P_i of a molecule (vector)

$$\dot{P}_i = p_i + \alpha_{ij}E_j + \beta_{ijk}E_jE_k + \dots$$

Raman effect

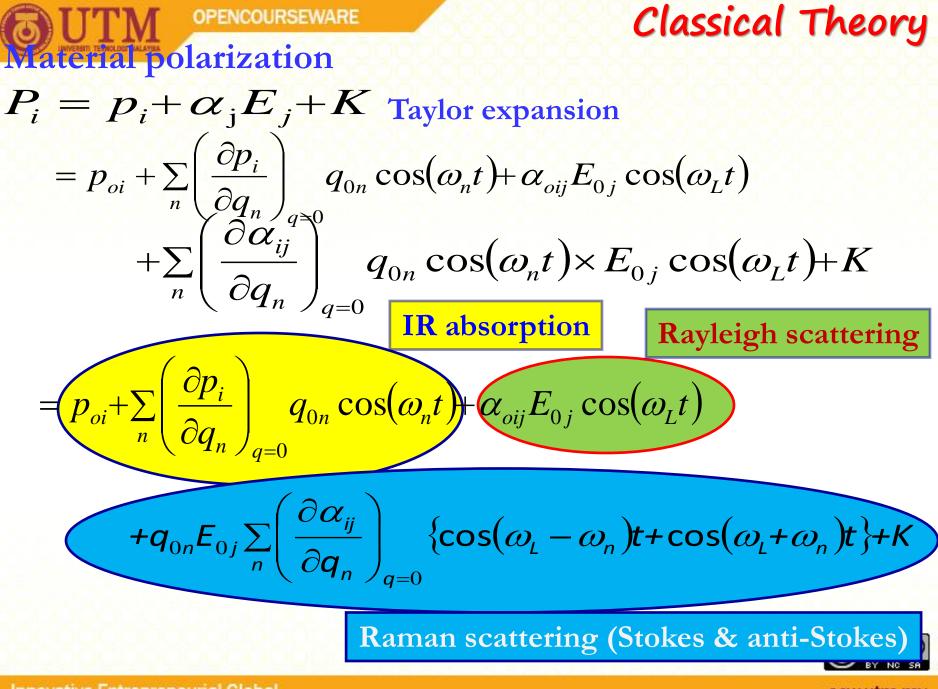
Hyper Raman effect

amat

- p_i Permanent dipole moment
- α_{ii} Polarizability (tensor)
- *i*, *j*, *k*, *l* Subscripts running over directions x, y, z

Power radiated by
$$\mathbf{P}_{ind}$$

 $I \propto \omega^4 |\mathbf{P}_{ind} \cdot \mathbf{e}_s|^2 \propto \omega^4 |\mathbf{e}_i \cdot \frac{\partial \vec{\chi}}{\partial \mathbf{Q}}| \cdot \mathbf{Q}(\mathbf{q}, \omega_0) \cdot \mathbf{e}_s|^2$



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Classical Macroscopic Theory of
Polarizability
An oscillating dipole radiates energy at the frequency of its oscillation (e.g. a broadcast
radio antenna, 60 Hz emission from transmission lines)

$$E = E_0 \cos(\omega_{ex}t)$$
The oscillating electric field of the
excitation light.

$$\alpha = \alpha_0 + (r - r_{eq}) \frac{d\alpha}{dr}$$
The molecular polarizability usually changes with bond length.
The bond length oscillates at the
vibrational frequency.

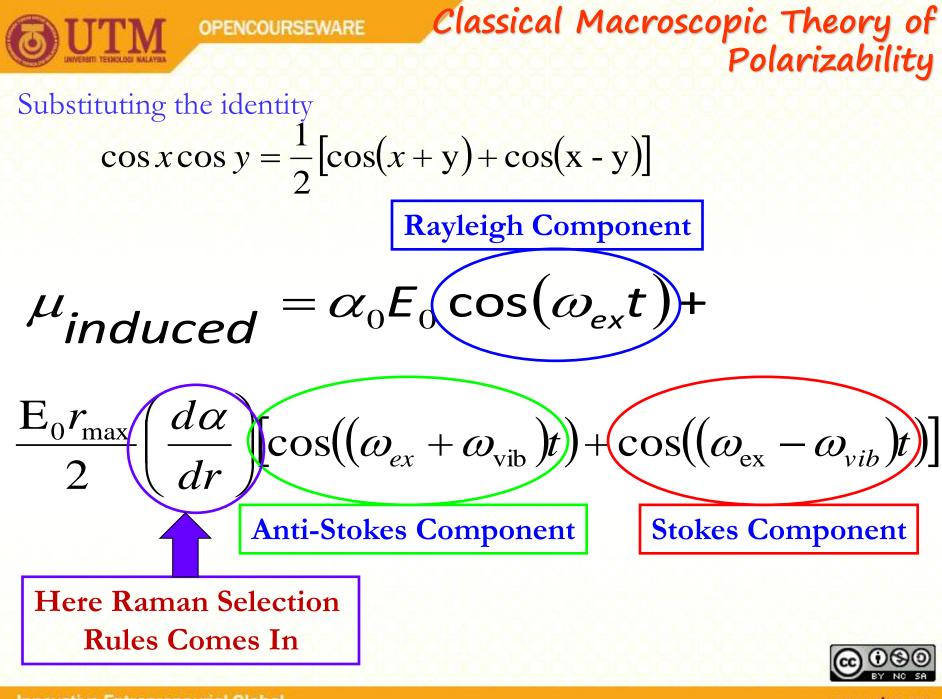
$$\alpha = \alpha_0 + \left(\frac{d\alpha}{dr}\right)r_{max}\cos(\omega_{vib}t)$$

$$\mu_{induced} = \left[\alpha_0 + \left(\frac{d\alpha}{dr}\right)r_{max}\cos(\omega_{vib}t)\right]E_0\cos(\omega_{ex}t)$$

$$\mu_{induced} = \left[\alpha_0 + \left(\frac{d\alpha}{dr}\right)r_{max}\cos(\omega_{vib}t)\right]E_0\cos(\omega_{ex}t)$$

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Hence the polarizability oscillates at same frequency



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Raman Selection Rules for Intensities

✓ Classical EM field description of Raman band intensities: The dipole moment in terms of polarizability of the molecule (α) is $P = \alpha E$

✓ Polarizability measures the ease with which the electron cloud around a molecule can be distorted. The induced dipole emits or scatters light at the optical frequency of the incident light wave.

 \checkmark Raman scattering occurs because a molecular vibration can change the polarizability.

 \checkmark The change is described by the polarizability derivative,

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 $\partial \alpha / \partial Q$, where Q is the normal coordinate of the vibration. \checkmark The selection rule for a Raman-active vibration requires that there be a change in polarizability during the vibration $\partial \alpha / \partial Q \neq 0$





Raman Selection Rules for Intensities

✓ Infrared-active vibration requires that there must be a net change in permanent dipole moment during the vibration.

✓ From **Group Theory** one can show that if a molecule has a center of symmetry then Raman-active vibrations will be silent in the infrared, and vice versa.

✓ Scattering intensity is proportional to the square of the induced dipole square of the polarizability that is to the moment, derivative, $(\partial \alpha / \partial Q)^2$. ✓ Number of bands

In Raman spectra there are few things to look at:

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- ✓ Band Intensities
- \checkmark Band position
- ✓ Band symmetry
- \checkmark Band polarization
- \checkmark Band width
- ✓ Band shape





Vibrational Modes of Molecules: Number of Bands

- ✓ A linear molecule of N atoms has 3N-5 normal modes of vibration.
- ✓ Nonlinear molecule has 3N-6 normal modes.

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Normal Modes:

- \checkmark Stretching motion between two bonded atoms
- \checkmark Bending motion between three atoms connected by two atoms
- \checkmark Out-of-plane deformation modes

Remember Q is the normal coordinate of the vibrational modes

Degrees of freedom linear non-linear Translational 3 3 2 Rotational 3 **Fundamental Vibrations** 3N-5 3N-6 Vibrational 3N 3N Total N = number of atoms in molecule

BY NO SA



Calculation of Number of Bands in a Raman Spectrum

Number of bands in the Raman spectrum for an N-atom non-linear molecule is seldom 3N-6, because:

- \checkmark Polarizability change is zero or small for some vibrations
- ✓ Bands overlap
- ✓ Combination or overtone bands are present

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- ✓ Fermi resonances occur
- ✓ Some vibrations are highly degenerate; etc...

Raman Spectroscopy is a method of determining modes of molecular motions, especially *vibrations*. It is predominantly applicable to the qualitative and quantitative analyses of covalently bonded molecules.





In Solid State Physics

Spontaneous Raman spectroscopy is used to among other things, characterize materials:

- ✓ Measure temperature.
- \checkmark Find the crystallographic orientation of a sample.
- ✓ Determine Crystal stress: through E_2^{h} mode

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- ✓ Determine carrier concentration: through A1(LO) mode and LPP-
- ✓ Can be used to observe other low frequency excitations of the solid, such as plasmons, magnons, and superconducting gap excitations.
- ✓ Obtain information on the population of a given phonon mode in the ratio between the Stokes intensity and anti-Stokes intensity.
- ✓ In nanotechnology, a Raman microscope can be used to analyze nanowires to better understand the composition of the structures.



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Applications : Chemical Mapping

Illegal drug inspection

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Mixture of cocaine and sugar. Bright spots are cocaine.

This is a **drug tablet**. The **yellow** corresponds to the **active** ingredient. Particles are in the 10's of µm range.



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Paint Chips : Car Accident Investigation

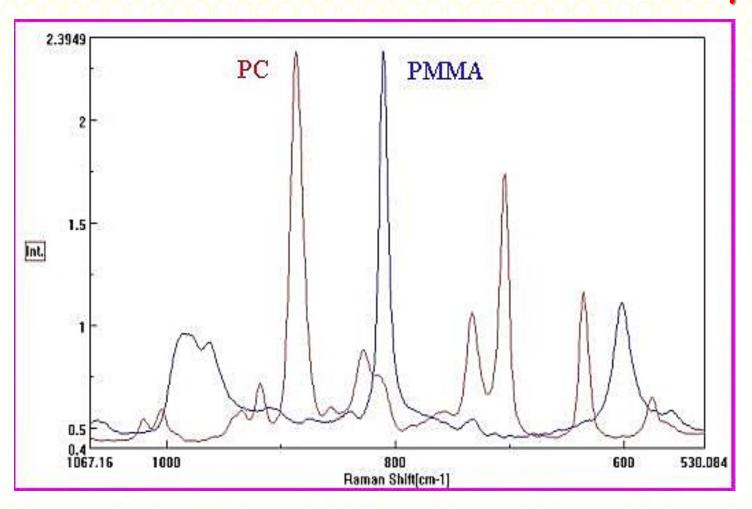
Forensic analysis of paint chips in vehicle accidents. Often multiple layers. Can analyze with IR by stripping successive layers. Image edge with micro-Raman.

Layers 1 and 3 turned out to be rutile phase TiO_2 - a white paint. Layer 2 was a Goethite, a red pigment and corrosion inhibitor. Layer 4 was molybdate orange, a common red paint in the 70's in North America and still used in the U.K. today. Layer 5 was a silicate based paint. Data arising from a case investigated by Los Angeles Police Department





Bullet Proof Glass Development

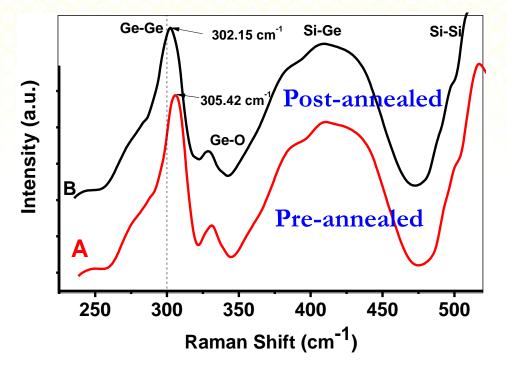


Identify poly(carbonate) from poly(methylmethacrylate). Both used for shatter-proof glass

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✓ The Raman shift is related to evolution of shape, size and Ge composition in dots

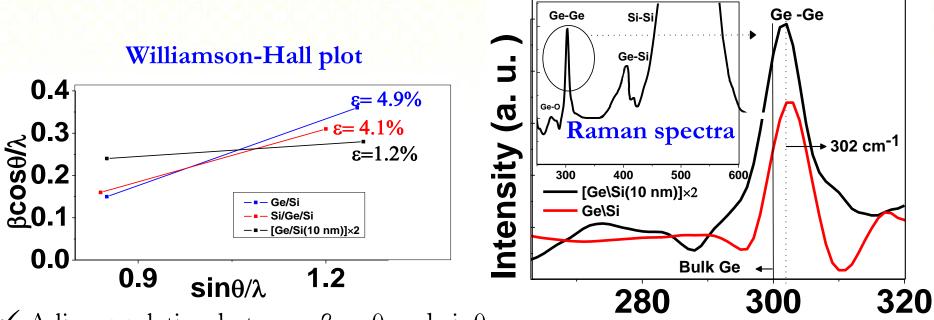
✓ Ge-Ge optical phonon for preannealed and post-annealed (650 °C) samples and their position **matching** to the bulk crystalline Ge (300 cm⁻¹).

✓ Some phonons and vibrational modes are restricted in the low dimensional system. This restriction labeled as quantization is displayed in different behaviors such as changes in the symmetry of the vibration peaks, vibrations that shift to different energies and variation in the peak intensity that can be vanished or even enhanced.





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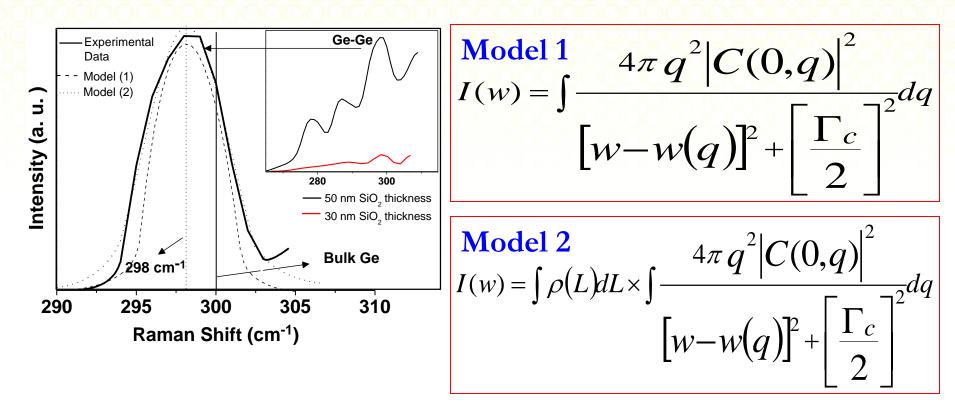
A linear relation between βcosθ and sinθ
Decrease of strain through bi-layering

- ✓ Ge-Ge optical phonons are **shifted** slightly to higher frequencies
- \checkmark Shift is originated from the competitive effect of **phonon confinement** and **strain**
- \checkmark The signature of the **intermixing** is pronounced as Ge-Si peak



Raman shift (cm⁻¹)





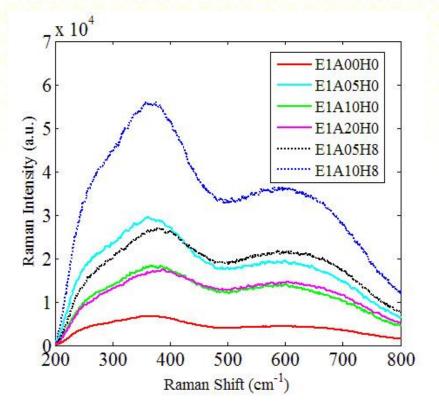
✓ Emission at 2.9 eV can be explained by Ge-nanoislands/SiO₂/Si interface model.

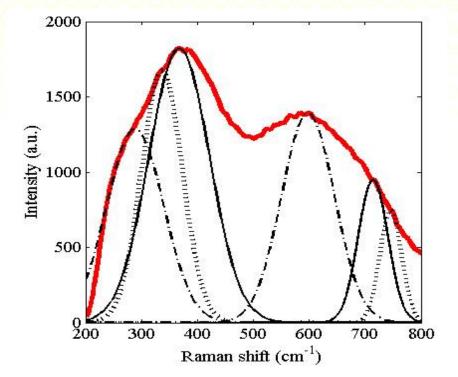
✓ Peak at 298 cm⁻¹ is assigned to the first order transverse optical (TO) phonon mode of Ge nanoisland





Tellurite Glass





Actual Raman spectra in the range of 200-800 cm⁻¹

Spectral de-convolution





✓ The D-band is known as the disorder band or the defect band. It represents a ring breathing mode from sp^2 carbon rings. The band is the result of a one phonon lattice vibrational process, which is typically weaker in graphite and weak in graphene.

✓ Prominent D-band indicates the presence of lot of defects in the material. The intensity of the D-band is directly proportional to the level of defects in the sample.

✓ The G-band is the primary mode in graphene and graphite. It represents the planar configuration sp^2 bonded carbon that constitutes graphene.





Conclusions

✓ Justice cannot be given through a single presentation to a field like RS where the advancements are too rapid.

✓ Lasers scientists and engineers are working together to meet the requirements of laser source and related optics.

✓ Continue to **predominate** over other techniques especially in biology, medicine, polymers, drugs, surface science, forensic, electro-chemistry, memory and sensor materials, photovoltaics, cosmetics, space exploration, environmental science,...etc.

✓ It simultaneously probes lattice dynamics (energy, symmetry, impurity, damping), electronic degrees of freedom (spin, energy, lifetime, symmetry) and excitations and their interactions (magnons, excitons, plasmons, ...).

✓ Extremely powerful in **low-dimensional materials** for probing various excitations

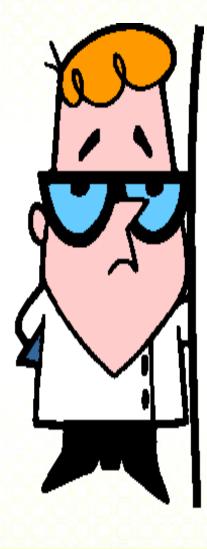
✓ Possibility of "single molecule detection."





Questions ?







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